



#sector  
PATENT  
450106-03008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Fumihiko NISHIO et al.  
Serial No. : 09/784,658  
Filed : February 15, 2001  
For : SERVICE PROVIDING APPARATUS, TRANSMITTING  
APPARATUS, RECEIVING APPARATUS, AND  
RECEIVING METHOD  
Art Unit : 2152

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

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first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on May 25, 2001

by : Glenn F. Savitt, Reg. No. 37,437  
for : Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or  
Registered Representative

*Glenn F. Savitt*

Signature

May 25, 2001

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File  
Missing Parts of Application" (form PTO-1533) dated April 2, 2001  
on the above application, a Declaration duly signed by the  
inventors, and a check in the amount of the required \$130.00  
surcharge. By separate cover, we are filing the Assignment and  
the \$40.00 fee for recording same.

PATENT  
450106-03008

Please charge any additional fees incurred or credit  
any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the  
identified application is now complete. Early examination of the  
application on its merits is solicited.

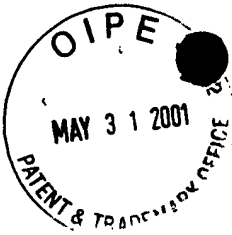
Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: 

Gordon Kessler  
Reg. No. 38,511  
Tel. (212) 588-0800

Enclosure



Receipt  
#15

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450106-03008

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Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or  
Registered Representative

*Gordon Kessler*  
Signature

May 25, 2001

Date of Signature

REQUEST FOR CORRECTED FILING RECEIPT

Application Processing Division  
Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a Request to correct the Filing Receipt issued  
in the above-identified application.

Under Foreign Applications:

Please insert --JAPAN 2000-043559 02/16/00--.

PATENT  
450106-03008

The foregoing changes are needed to correct inadvertent errors, as evidenced by the Declaration submitted with the parent patent application. A copy of the Filing Receipt with the requested correction(s) noted thereon in red ink is also enclosed.

The issuance of a corrected Filing Receipt is respectfully requested.

Please charge any fees required for this correction or credit any overpayment to Deposit Account No. 50-0320.

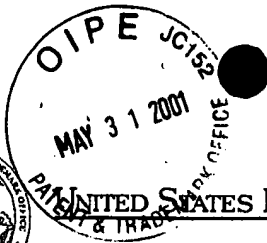
Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: 

Gordon Kessler  
Reg. No. 38,511  
Tel. (212) 588-0800

Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/784,658	02/15/2001	2152	790	450106-03008	8	12	4

William S Frommer  
Frommer Lawrence & Haug LLP  
745 Fifth Avenue  
New York, NY 10151

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CONFIRMATION NO. 2231

## FILING RECEIPT



\*OC000000005924808\*

Date Mailed: 04/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Fumihiko Nishio, Tokyo, JAPAN;  
Yoshihisa Gonna, Kanagawa, JAPAN;  
Yasuaki Yamagishi, Kanagawa, JAPAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-043559 02/16/00

If Required, Foreign Filing License Granted 04/01/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Service providing apparatus, transmitting apparatus, receiving apparatus, and receiving method

Preliminary Class

709

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Data entry by : HARRISON, BRUCE

Team : 1700

Date: 04/02/2001



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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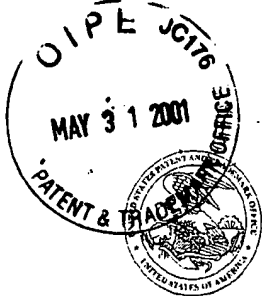
**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Customer Service Center  
Washington, DC 20231





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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/784,658	02/15/2001	Fumihiko Nishio	450106-03008

CONFIRMATION NO. 2231

## FORMALITIES LETTER



\*OC000000005924809\*

William S Frommer  
Frommer Lawrence & Haug LLP  
745 Fifth Avenue  
New York, NY 10151

Date Mailed: 04/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*BP T-6*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE